

SETTLEMENT AGREEMENT

This agreement made and entered into between the Executive Branch Ethics Commission (hereinafter the "Commission") and Leroy Buckner.

WHEREAS, this agreement involves the matter styled *Executive Branch Ethics Commission v. Leroy Buckner*, Case No. 21-004;

WHEREAS, the Commission is designated by statute as the agency responsible for enforcing the Executive Branch Code of Ethics, KRS Chapter 11A;

WHEREAS, on May 19, 2021, the Commission alleged facts in an Initiating Order that Leroy Buckner violated the Executive Branch Code of Ethics codified at KRS 11A.020(1)(d);

WHEREAS, Leroy Buckner was at all relevant times mentioned in the Initiating Order a "public servant" as defined in KRS 11A.010(9) and thus subject to the Executive Branch Code of Ethics; and

WHEREAS, Leroy Buckner indicates his desire to resolve all issues in this action by the execution of a Settlement Agreement.

NOW, THEREFORE, in settlement of the above allegations, the Commission and Leroy Buckner agree, pursuant to KRS 11A.100, as follows:

1. Leroy Buckner admits that he committed Counts I and II in violation of the Executive Branch Code of Ethics codified at 11A.020(1)(d) as stated in the Appendix A to the Commission's Initiating Order of May 19, 2021, attached hereto and incorporated by reference herein.

2. Leroy Buckner does not admit that he committed the violations of the Executive Branch Code of Ethics codified at KRS 11A.020(1)(d) contained in Count III of Appendix A to the Initiating Order of May 19, 2021, attached hereto and incorporated by reference herein, but agrees that he will not contest that count for purposes of settling this matter.

3. Leroy Buckner agrees to pay the Commission a civil penalty of three thousand dollars (\$3,000.00) concurrent with the entry of this Settlement Agreement.

4. Leroy Buckner agrees that he waives all rights to any further administrative process or appeal pursuant to KRS 13B.140 thereon.

5. The parties further agree that the acceptance of this Settlement Agreement by both parties, and the fulfillment of its express terms, is in full accord and satisfaction of

the herein referenced *Executive Branch Ethics Commission v. Leroy Buckner*, Case No. 21-004.

6. This Settlement Agreement constitutes a public reprimand to Leroy Buckner, a copy of which will be provided to his former appointing authority pursuant to KRS 11A.100(3)(c).

IN WITNESS THEREOF, the parties have caused this agreement to be executed:


Leroy Buckner

04/19/2021
Date


Paul Fauri, Counsel for Leroy Buckner

4-22-21
Date

EXECUTIVE BRANCH ETHICS COMMISSION:


Judge Roger L. Crittenden (Ret.), Chair

5/19/2021
Date


David K. Karen (May 20, 2021 13:17 EDT)
Sen. David K. Karen (Ret.), Vice-Chair

May 20, 2021
Date


Crit Luallen, Member

5-19-21
Date


Daniel J. Venters (May 20, 2021 14:02 EDT)
Justice Daniel J. Venters (Ret.), Member

May 20, 2021
Date

NOT PRESENT
David S. Samford, Member

Date